



# Investment Team



## A Commitment to Asset Management Excellence

Our mission is to provide advisors with disciplined, consistent and reliable investment strategies that can help clients stay on track to reach their long term goals. We do this by creating highly personalized investment strategies designed to help clients remain committed to their individual financial plans.

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### EXPERIENCE

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Our Portfolio Managers have an average of 27 years of industry experience, helping you expand the expertise you provide your clients.

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### DISCIPLINE

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Using a combination of fundamental and quantitative research, our investment approach is unemotional and repeatable.

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### PARTNERSHIP

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We provide a truly collaborative approach to portfolio construction, taking the time to listen, learn, and help investors achieve their unique goals.

Together we help clients remain committed to their investment plan so they can succeed regardless of whether markets go up or down.

# Investment Team



**K. Sean Clark, CFA®**

*Chief Investment Officer*

As Clark Capital's Chief Investment Officer, Sean oversees all of the Firm's investment activities and heads the firm's portfolio team. Sean joined the firm in 1993 and is responsible for asset allocation and investment selection for Navigator Investment Solutions as well as directing ongoing market research and contributing to the development of proprietary products. Sean is a member of the Clark Capital Investment Committee and the Executive Team. He graduated from the University of Delaware, earning a B.S. and an M.A. in Economics. Sean holds the Chartered Financial Analyst® designation and is a member of the CFA Institute (formerly AIMR) and the Financial Analysts of Philadelphia, Inc. Sean is considered an industry expert and is often asked to appear on CNBC and Bloomberg television to share his views on the market. In addition, Sean has been featured in a number of articles in nationally distributed business journals and newspapers.



**Steven Grant, CFP®**

*Head of Fixed Income*

Steve is Clark Capital's Head of Fixed Income and a member of the Executive Team. He is a key contributor to product development and to the implementation of industry and technological innovations. Steve's involvement in the financial industry began in 1976 with Hess Grant and Co. In 1989, Steve co-founded Williams Capital Markets, an institutional municipal bond firm. In 1996, he joined Clark Capital Management. Steve is a graduate of Franklin & Marshall College, attended the College of Financial Planning and holds the CFP designation.



**David J. Rights**

*Director of Research*

As Director of Research David directs the ongoing research into securities selection and portfolio strategies used to enhance the Navigator® investment programs. In the late 1970s, David began to develop economically based, quantitatively driven econometric models. He has also developed technical models used to enhance relative returns and reduce the risks of ETF and fund based products. David is a member of the Clark Capital Investment Committee. David was formerly President and Chief Investment Officer of RTE Asset Management which merged with Clark Capital in 2005. He holds a degree in Electrical Engineering from Lehigh University.



**Jamie Mullen**

*Senior Portfolio Manager*

As a Senior Portfolio Manager, Jamie manages the Taxable and Tax Free Fixed Income Strategies. He is a member of the Clark Capital Investment Committee. Jamie has over 30 years of experience with fixed income securities. He began in municipal credit research and worked in public finance before moving to a position in trading, where his experience included trading municipal bonds and employing fixed income futures and futures options. He has extensive experience in dealing with mutual funds, trust departments and money managers. He received his degree from St. Joseph's University. He joined Clark Capital in 2000.



**Jon Fiebach**

*Executive Vice President, Fixed Income*

Jon is Clark Capital's Executive Vice President of Fixed Income. During his 30 years as a trader and senior portfolio management leader in the institutional bond industry, Jon has focused on the creation and supervision of actively managed, innovative fixed income strategies. Most recently he was co-founder of Main Point Advisors, where he was responsible for the implementation and management of non-traditional fixed income strategies.

At Clark Capital, Jon is responsible for the development and oversight of actively managed fixed income strategies that address the ever changing challenges and opportunities faced by bond investors. He is lead manager of the Navigator Duration Neutral Fund and a senior portfolio manager on the Navigator Tactical Fixed Income Fund.

Prior to founding Main Point Advisors in 2013, Jon was co-founder, Managing Director, and Chief Investment Officer of Duration Capital Management Advisors, Inc. From 1994 through 2002 Jon built and managed municipal and corporate bond trading at Susquehanna International Group, LP. Jon is nationally known for his publications and presentations including co-authoring *The Handbook of Municipal Bonds* (2008, John Wiley and Sons, Inc.). Jon graduated from Albright College with a BS in Business Administration and Political Science.



**Maira F. Thompson**

*Senior Portfolio Manager*

Maira is responsible for management of High Dividend Equity and Diversified Equity portfolios in the Premier Portfolios group and is a member of the Clark Capital Investment Committee. Her more than thirty years of investment experience included the position of Vice President and head of the Philadelphia Investment Group for Meridian Asset Management. After Delaware Trust became part of Meridian, Maira managed their Trust Investment Group in Wilmington, Delaware. In the 1980s Maira managed assets for high net worth clients and co-managed a small cap fund for Fidelity Bank in Philadelphia. She began her career as a trader with Prudential Bache Securities and a licensed broker for Legg Mason Wood Walker. Maira is a graduate of Ohio Wesleyan University and undertook additional studies in economics at the London School of Economics. She joined Clark Capital in 1997.



**Tony Soslow, CFA®**

*Senior Portfolio Manager*

Tony serves as a portfolio manager in the Premier Portfolios group and is a member of the Clark Capital Investment Committee. He has over 31 years of portfolio management experience utilizing both a quantitative and fundamental process. From 1997 to 2013 Tony was the President and Chief Investment Officer of Global Capital Management which he founded. From 1986 through 1997, Tony was Director of Portfolio Management at RTE Asset Management where he was responsible for portfolio management across all asset classes. He was cited as a Top Guns Manager\* in 2017 and was named Manager of the Decade in 2011 and 2017 by PSN. Tony is a graduate of the Wharton School of the University of Pennsylvania and is a CFA charterholder.

\* The PSN universes were created using the information collected through the PSN investment manager questionnaire and use only gross of fee returns; they do not reflect any management fees, transaction cost or expenses. PSN Top Guns investment managers must claim that they are GIPs compliant. Mutual fund and commingled fund products are not included in the universe. Products must have an R-Squared of 0.80 or greater relative to the style benchmark for the latest ten year period. Moreover, products must have returns greater than the style benchmark for the latest ten year period and also Standard Deviation less than the style benchmark for the latest ten year period and also Standard Deviation less than the style benchmark for the latest ten year period. At this point, the top ten performers for the latest 10-year period become the PSN Top Guns of the Decade. The complete list of PSN Top Guns and an overview of the methodology is available at <http://www.informais.com/resources/psn-top-guns>. Top Guns Manager of the Decade is a recognition from Informa Investment Solutions PSN, an independent, national money manager database. This designation may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, the experiences of investors in portfolios managed by Mr. Soslow. This information reflects the experience of GCM clients prior to March 2013 and subsequently reflects the experiences of the clients of Clark Capital Management Group, Inc. and is not indicative of future performance. When the 2011 designation was made, recognition was for the GCM All Cap Core strategy and the 2017 recognition was for GCM International ADR prior to 2013 and subsequently for the Navigator® International ADR. Though the strategy was in the top ten, it was not ranked first in the top ten categories for each period.

# Investment Team



**Robert S. Bennett, Jr.**

*Portfolio Manager*

As a Portfolio Manager, Robert co-manages the Navigator Tactical Fixed Income Fund. Robert has over eight years of experience with the management and trading of various securities and derivatives. He has had extensive experience overseeing the daily trading activities of various long and short ETFs and mutual funds for ProShare Advisors including: trading cash equities, synthetic equities, futures, credit default swaps, corporate bonds, treasuries, and FX forwards. He began his career as a Financial Advisor at UBS Financial Services, Inc. Robert received his B.S. in business management from Wentworth Institute of Technology.



**John E. Clark, IV, CFP®, ChFC**

*Portfolio Manager*

John serves as a Portfolio Manager on the Navigator Global Opportunity management team, focusing on trend and risk analysis and is a member of the Clark Capital Investment Committee. John has over 20 years of experience in the investment advisory business. Prior to joining Clark Capital in 2011, John spent 15 years at Wachovia Securities and its predecessor firm Wheat First Butcher Singer, where he spent his last two years managing the Absolute Return ETF portfolio. John holds a degree in Economics from Millersville University and pursued graduate studies in economics at Lehigh University, with an emphasis in Econometrics. He is a Certified Financial Planner (CFP®) licensee and a Chartered Financial Consultant (ChFC) with the American College. He is also an Affiliate of the Market Technicians Association, a professional organization of market analysts, and is currently studying for Level III of the Chartered Market Technician's examination.



**Eric Kazatsky**

*Portfolio Manager*

Eric serves as Portfolio Manager working on the Tax-Free Fixed Income Strategy and the Duration Neutral Bond Fund. Eric has over 13 years of extensive experience in municipal bonds. Most recently he worked as a Municipal Strategist for Bloomberg Intelligence. Prior to that, he performed credit analysis and research at Janney Montgomery Scott and SEI Investments, closely monitoring municipal market trends and valuations. His prior career included serving as an Investment Banking Analyst with GMS Group, LLC and a Senior Municipal Investment Banking Associate with PNC Capital Markets. Eric also has a grasp of municipal bonds from a unique perspective as his experience includes being Director of Treasury Operations at the School District of Philadelphia. He earned a B.A. in finance and economics from the University of Pittsburgh, an M.B.A. in finance from St. Joseph's University, and a M.S. in investment management from Temple University.



**Mason Wev, CFA®, CMT**

*Portfolio Manager*

Mason joined Clark Capital Management Group, Inc. in 2005 as a Portfolio Manager. He is a member of the Clark Capital Investment Committee, contributing to asset allocation policy and security selection. Mason has more than a decade of experience in the investment industry. He is responsible for quantitative investment analysis, security selection, and communicating the firm's investment policy to wealth advisors and consultants. He participates in the research and product development efforts of the Portfolio Team. A graduate of Dickinson College, Mason earned an M.B.A. in International Management from the Garvin School of Management at Thunderbird (the American Graduate School of International Management). He is a CFA® charterholder and a Chartered Market Technician.





**Scott Swickard, CFA®**

*Senior Equity Analyst*

As Senior Equity Analyst and a member of Clark Capital's Investment Committee, Scott is responsible for conducting fundamental research on stocks and seeking new investment opportunities in the Premier Portfolios group. Prior to joining Clark Capital Management in 2017, he served as a Portfolio Manager at Turner Investments, managing a diversified long/short equity fund and a sector-focused long/short equity fund. Scott began his career in 2007 as an Equity Analyst at Turner Investments, conducting fundamental equity research for various investments including long/short, market neutral, domestic long-only, emerging markets and international strategies. He is a CFA charterholder and a member of the CFA Society of Philadelphia and the CFA Institute. Scott earned his M.S. in Accounting as well as dual B.S. degrees in Finance and Accounting from Bradley University.



**Marek Hlinka, CFA®**

*Equity Analyst*

As Equity Analyst and a member of Clark Capital's Investment Committee, Marek is responsible for conducting fundamental research on stocks and seeking new investment opportunities in the Premier Portfolios group. Marek has over seven years of experience in the asset management industry. Prior to joining Clark Capital Management in 2016, he served as Global Equity Analyst at Turner Investments, conducting fundamental equity research for various investments including long/short, market neutral, domestic long-only, emerging markets and international strategies. He began his career in 2008 in Private Wealth Management at Goldman Sachs. He is a CFA charterholder and a member of the CFA Society of Philadelphia and the CFA Institute. Marek earned his B.S. in business administration with concentrations in finance, accounting, and economics and a minor in mathematics from Drexel University.



**Kevin Bellis, CFA®**

*Portfolio Analyst*

Kevin assists in the implementation of the Navigator Tactical Fixed Income Fund's trading strategy. He utilizes a quantitative approach trading municipal bonds, treasury futures, futures options, mutual funds, ETFs, and synthetic credit products. He is a graduate of Penn State University with a degree in Finance. Kevin is a CFA® charterholder and a member of the CFA Society of Philadelphia.

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**Glenn Dorsey, CFA®, CAIA®**  
*Senior Vice President, Client Portfolio Manager*

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Glenn Dorsey is Senior Vice President and Client Portfolio Manager for Clark Capital Management Group. In this role, he is responsible for portfolio construction and the clear communication of investment strategy to advisors and their clients. Glenn is a key resource for Clark Capital's Investment Consultants and financial advisors.

Throughout Glenn's extensive experience as a Portfolio Manager and a Client Portfolio Manager, he has focused on helping advisors deliver great outcomes to their clients and institutions. His portfolio management experience includes equity, balanced, and fixed income investing for high net worth clients. Prior to joining Clark, Glenn was the Co-Chief Investment Officer and Head of the Private Wealth Management Group at Curian Capital.

Glenn holds the Chartered Financial Analyst (CFA) and the Chartered Alternative Investment Analyst (CAIA) designations. He holds a bachelor's degree in finance and accounting from Rider University in Lawrenceville, New Jersey.



**Peter J. Eisenrich, CFA®**  
*Vice President, Client Portfolio Manager*

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Pete Eisenrich is a Vice President and Client Portfolio Manager for Clark Capital Management Group. In collaboration with financial advisors and Clark Capital's Investment Consultants, he constructs highly personalized investment solutions for high net worth clients. As Client Portfolio Manager, Pete works with financial advisors in providing an elevated investment experience delivered with a level of concierge service that high net worth individuals expect.

Pete's extensive investment management experience includes the position of Vice President and Senior Market Strategist at Curian Capital responsible for communicating economic and capital market information to investment advisors. Prior to joining Clark Capital, Pete was a Senior Vice President and Portfolio Strategist at Global Financial Private Capital.

Pete is a Chartered Financial Analyst (CFA) charterholder. He received a M.A. from Johns Hopkins University's School of Advanced International Studies and earned a B.S. in Commerce, Finance from Santa Clara University.

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**Karen Hornberger**

*Trader, Fixed Income*

Karen serves as a trader for Clark Capital's fixed income strategies. Prior to joining Clark Capital in 2010, she garnered over 30 years' experience in the industry. Her career began in 1985 with Tucker Anthony and R.L. Day, Inc. Karen has served as Vice President, Operations Manager for Grant Williams and holds a B.S. in Business Administration with a Finance Concentration from the University of Delaware. She holds FINRA licenses 7, 53 and 63.



**Liz Anne McCarthy**

*Trader, Fixed Income*

Liz Anne serves as a trader for Clark Capital's fixed income strategies. Prior to joining Clark Capital in 2016, Liz Anne had over 30 years of experience in trading fixed income securities, primarily in municipal bonds. She started her career in 1980, at the Glenmede Trust Company and also worked at J.F. Hartfield and most recently at Regional Brokers Incorporated as a broker's broker. She has been a member of The Municipal Bond Club of Philadelphia since 1985 and has served as Secretary for the Club.



**John Meyer**

*Trader, Equities*

John serves as equity trader for Clark Capital's equity strategies. He has 13 years of industry experience, eight of them in trading. His career began in operations at Fifth Third Asset Management before moving to trading. Subsequently he was head trader at Opus Capital Management, trading microcap and small cap securities. John served on the board of governors for the Ohio Security Traders Association and is a member of the Investment Traders Association of Philadelphia. He joined Clark Capital in 2017. A graduate of Wittenberg University, he holds a B.A. in Economics.



**Jim Phelan**

*Trader, Fixed Income*

Jim serves as a trader for Clark Capital's fixed income strategies. He has 26 years of experience in trading fixed income securities, primarily in municipals, taxable and tax-free. Jim began his career in 1989 at J.F. Hartfield & Co. and subsequently worked at Muni Partners, Discount Muni Brokers, and most recently at Sentinel Brokers. He has been a member of The Municipal Bond Club of Philadelphia since 1989 and has served as Secretary, Governor, Vice President, and President of the Club. Jim joined Clark Capital Management in September 2015. He attended Shippensburg University as a Public Relations/Marketing major.