

CLARK CAPITAL MANAGEMENT GROUP, INC.
Guide to Services and Compensation Provided for ERISA Plans

Pursuant to ERISA Section 408(b)(2), we are furnishing the guide below, which provides important information that should be considered in connection with the services we provide to your ERISA plan (“Plan”). Should you have any questions about this guide or the information provided to you concerning Clark Capital’s services or compensation, please do not hesitate to contact Denise Williams, our Chief Compliance Officer, at 215-569-2224.

Information Required under 408(b)(2)	Specific Disclosure	Location(s) of Information
Services that Clark Capital will provide to your Plan.		Services we expect to provide are described in the Investment Advisory Agreement executed between the Plan and Clark Capital and are further described in Clark Capital’s Form ADV – Part 2A Appendix 1 Wrap Fee Brochure, Item 4 – Services, Fees and Compensation. ²
A statement concerning the services that Clark Capital will provide as an ERISA fiduciary and as a registered investment adviser.	Clark Capital will provide services as an investment adviser registered under the Investment Advisers Act of 1940 and as a fiduciary under ERISA § 3(21).	
Compensation that Clark Capital will receive from your Plan (“direct” compensation).	Direct compensation received by Clark Capital is a percentage of plan assets as specified in the Investment Advisory Agreement executed between the Plan and Clark Capital. From this compensation, Clark Capital pays for trading and the fees of the Plan’s custodian and sub-advisors.	Direct compensation is described in the Investment Advisory Agreement executed between the Plan and Clark Capital and in Clark Capital’s Form ADV – Part 2A Appendix 1 Wrap Fee Brochure, Item 4 – Services, Fees and Compensation.
Compensation that Clark Capital will receive from other parties that are not related to Clark Capital (“indirect” compensation).	From time to time, third parties that provide services to client accounts will sponsor conferences or events hosted by Clark Capital. These sponsorships, which we consider to be a form of indirect compensation, when they occur, are nominal and used to cover event expenses. Additionally, from time to time, third parties may provide Clark Capital with nonmonetary gifts and gratuities, such as promotional items (i.e., coffee mugs, calendars or gift baskets), meals and access to certain industry-related conferences (collectively, “gifts”). Clark Capital	

² Available at <http://www.adviserinfo.sec.gov>.

Information Required under 408(b)(2)	Specific Disclosure	Location(s) of Information
	does not expect to receive gifts in excess of the de minimis threshold established under the Department of Labor's regulations and guidance.	
Compensation that will be paid among Clark Capital and related parties.	Not applicable to the services provided by Clark Capital.	
Compensation Clark Capital will receive if you terminate this service agreement.		For information regarding compensation paid upon termination of services, please refer to the Investment Advisory Agreement executed between the Plan and Clark Capital and Clark Capital's Form ADV – Part 2A Appendix 1 Wrap Fee Brochure, Item 4 – Services, Fees and Compensation.
The cost to your Plan of recordkeeping services.	Not applicable to the services provided by Clark Capital.	