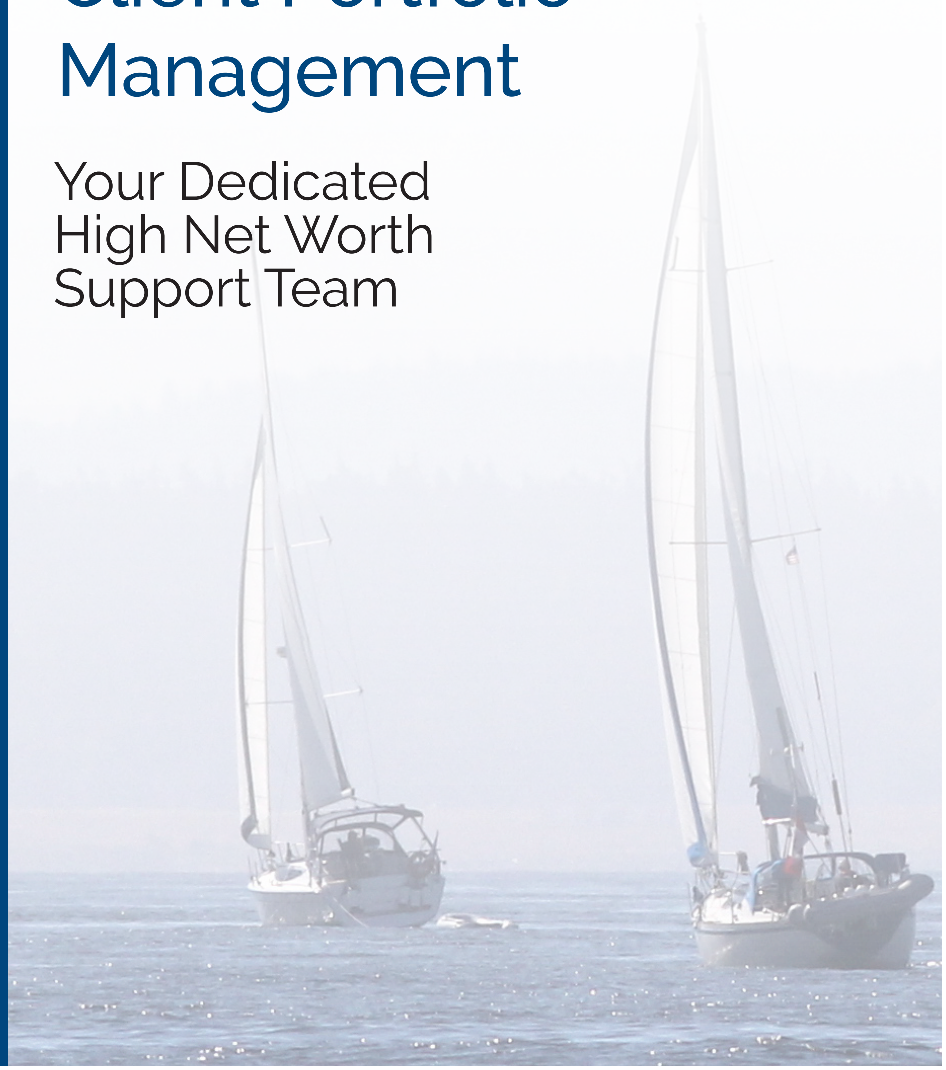
Clark Capital
MANAGEMENT GROUP

Client Portfolio Management

Your Dedicated High Net Worth Support Team



Navigate
Your Future.
Enjoy the
Journey.

Focused on Helping You Deliver a Superior Client Experience

Today's high net worth client has complex needs and high expectations. Our Client Portfolio Management (CPM) Team helps support advisors in their efforts to deliver a superior client experience.

Clark Capital's CPM Team can help you:

- Grow your AUM through consolidation of assets and increased referrals
- Compete effectively with wirehouses and banks
- Navigate fee/performance-based conversations with your clients
- Simplify the portfolio construction process and speed up implementation of the client's investment plan
- Manage client cases that have unique tax needs
- Differentiate your business through legacy planning, helping you reach a new generation of clients

Benefits of the CPM Team

A suite of resources designed to help you attract and retain high net worth business:

Book Reviews

Portfolio Analysis

Portfolio Construction

Point of Sale Support

Market Update Calls

Quarterly Reviews

Commentary & Resources

Tax Transitions

Client-Friendly Resources to Help You Attract High Net Worth Business

Our CPM Team provides institutional quality analysis, resources, and tools to help you grow your business.

- **Holdings Analysis and Bond Diagnostics**
  Consolidated and comprehensive holdings analysis evaluates your clients' current portfolio diversification and identifies any concentration risks.

- **Current Portfolio Cost Analysis**
  Compelling and in-depth portfolio analytics from Factset, Morningstar and Personal Funds examine your clients' current underlying expenses, which can be negatively impacting their returns.

- **Custom Tax Transitions**
  When transitioning an account to Clark Capital, our CPM Team can work with you to create a custom tax transition plan to help smoothly transition a client's assets over multiple tax years.

- **Personalized Investment Proposals**
  Create personalized investment proposals designed to help you clearly communicate the expertise you provide to your high net worth clients. For households accounts over $1 million, we offer institutional level proposals with full market and economic commentary.

- **Point of Sale Support**
  Your CPM Team can meet with you and your high net worth prospects to discuss Clark Capital's proposed investment solution.

A Long-Term Partnership To Help You Retain Your High Net Worth Clients

When your clients sign the paperwork, it's not the end of our relationship—it's just the beginning. Our CPM Team provides concierge-level portfolio monitoring and account maintenance, so you can spend your time focusing on your clients and what's important to them.

- **Quarterly Portfolio Reviews**
  Quarterly Client Reviews provide advisors and their clients an economic and capital market assessment and a straightforward review of their portfolio composition and performance. When appropriate, investment recommendations are made to realign portfolios with changes to the clients' goals or Clark Capital's investment outlook.
- **Market Update Calls**
  Market Update Calls help keep your clients up-to-date on the markets, so they can remain calm and on track to achieve their financial plan.
- **Annual Book Reviews**
  Annual book reviews provide a holistic and comprehensive market and strategy review to make sure all your accounts are aligned with client goals and Clark Capital's latest investment recommendations.
- **Ongoing Education and Resources**
  Ongoing investment expertise and white glove service designed to keep you up-to-date on Clark Capital's strategies. Resources include timely commentary, webinars, and events to help support your client conversations.

An Extension of Your Team

Our team of CFA® Charterholders works side-by-side with Portfolio Managers and Investment Consultants to help you clearly communicate the investment expertise you provide to your high net worth clients.

Client Portfolio Managers are supported by a team of Analysts, Coordinators, and a Tax Transition Specialist to help you design highly customized portfolios and provide a white glove client experience.

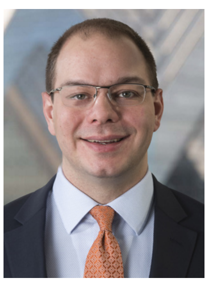
East Coast CPM Team Leads

Contact Team Dorsey
cpmteamdorsey@ccmg.com | 800.766.2264 x8270

Glenn Dorsey, CFA®, CAIA®
Senior Vice President, Head of Client Portfolio Management

Glenn Dorsey and his team work with Portfolio Managers and your Investment Consultant to help you grow your business and communicate your investment expertise to high net worth clients.

With over 30 years in the business, Glenn's extensive investment management experience includes the position of Co-Chief Investment Officer and Head of the Private Wealth Management Group at Curian Capital. His portfolio management experience includes equity, balanced, and fixed income investing for high net worth clients.



John Archbold, CFA®
Client Portfolio Manager

In collaboration with financial advisors and Clark Capital's Investment Consultants, John constructs highly personalized investment solutions for high net worth clients.

John's expertise includes investment planning strategies for complex client cases, such as managed tax transition strategies, option overlay strategies for concentrated positions, and personalized income planning.

West Coast CPM Team Leads

Contact Team Eiesenrich
cpmteameisenrich@ccmg.com | 800.766.2264 x8270

Peter Eisenrich, CFA®
Vice President, Senior Client Portfolio Manager

Pete Eisenrich and his team work with Portfolio Managers and your Investment Consultant to help you grow your business and communicate your investment expertise to high net worth clients.

With over 20 years in the business, Pete's extensive investment management experience includes the position of Vice President and Senior Market Strategist at Curian Capital where he was responsible for communicating economic and capital market information to investment advisors. Prior to joining Clark Capital, Pete was a Senior Vice President and Portfolio Strategist at Global Financial Private Capital.

Thomas Duffy, CFA®
Client Portfolio Manager

In collaboration with financial advisors and Clark Capital's Investment Consultants, Tom constructs highly personalized investment solutions for high net worth clients.

Tom's expertise includes investment planning strategies for complex client cases, such as managed tax transition strategies, option overlay strategies for concentrated positions, and personalized income planning.

Navigator® Elite Advisor Program

High Net Worth Services to Help You Deliver A Superior Client Experience

Benefits to You

Sophisticated Presentations with Compelling Analytics

Advanced Investment Planning Strategies

Concierge Level Portfolio Oversight

Navigator® Elite Advisor Program

The Navigator® Elite Advisor Program is designed to provide tools and resources to help you grow and retain your high net worth business.

As your assets under management with Clark Capital grow, you'll have access to a wide range of exclusive concierge-level services from our CPM Team.

For more information, contact your Investment Consultant

	Client Household Account Value		
Services Available to You and Your Clients	**$500,000 to $1,000,000**	**Over $1,000,000**	**Over $5,000,000**
Current Holdings Analysis and Bond Diagnostics with Recommendations	✓	✓	✓
Current Portfolio Cost Analysis	✓	✓	✓
Personalized Investment Proposal	✓	✓	✓
Proposal Review with Your Investment Consultant Team	✓	✓	✓
Institutional Level Investment Proposal		✓	✓
Quarterly Portfolio Reviews by Phone with a CFA-Level Client Portfolio Manager		✓	✓
In-Person Access to CPM Team			✓
Direct Access to Senior Leadership at Clark Capital			✓

	Navigator® Elite Advisor Program (AUM with Clark Capital)		
A Long-Term Partnership to Help You Grow Your High Net Worth Business	**Silver** **$10 million**	**Gold** **$25 million**	**Platinum** **$50 million**
Annual Book Review with a CPM Team Member	✓	✓	✓
Quarterly Client Economic and Capital Market Review Group Call	✓	✓	✓
Monthly Market Recap of Investment Team Insights	✓	✓	✓
Quarterly Portfolio Reviews by Phone with the Head of Your CPM Team		✓	✓
2 Days Worth of Exclusive Time with the Head of Your CPM Team		✓	
4 Days Worth of Exclusive Time with the Head of Your CPM Team			✓

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